

## PROXY FORM

### Financial Advice NZ Annual General Meeting

3pm 15th November 2021



I \_\_\_\_\_ being a Member of Financial Advice NZ entitled to attend and vote at this AGM hereby appoint:

*[Please select one, if none is selected the Chair will be appointed:]*

- ☐ \_\_\_\_\_ or failing him/her the Chair of the meeting  
☐ the Chair of the meeting

as my proxy to vote for me on my behalf at the Annual General Meeting of the Association to be held on the 15th day of November 2021 and at any adjournment.

This form is to be used *[Please select one:]*

- ☐ in favour of/against or abstention\* to the specific resolution or resolutions as noted below  
*[Please indicate your proxy vote by ticking the box below]*  
☐ unless otherwise instructed the proxy will vote as he or she thinks fit.

Items of Business	IN Favour	NOT IN Favour	Abstain
<b>Resolution 1: Appointment of Independent Director</b> Move that the Board recommended Independent Director Lana Stockman be confirmed as appointed to the Financial Advice New Zealand Board.			
<b>Resolution 2: Directors' Remuneration</b> Move that the aggregate amount of Directors' remuneration for the 2021/2022 financial year be set at no more than \$166,000.			
<b>Resolution 3: Appointment of Accountant to review the Financial Statements</b> Move that Findex be re-appointed as the accountant (Reviewer) to review the 2021/2022 annual financial statements of the Association.			
<b>Resolution 4: Adoption of new Financial Advice New Zealand Constitution</b> Move that the current Financial Advice New Zealand Constitution is rescinded and is replaced by the proposed Financial Advice New Zealand Constitution.			
<b>Resolution 5: Implementation date of new Constitution and Rules</b> Move that if Resolution 4: "Adoption of new Financial Advice New Zealand Constitution" is passed, the new Constitution and accompanying Rules are lodged with the Incorporated Societies registrar on the first trading day of January 2022 for immediate registration.			

\*Note where the form is to be used in favour of or against or abstaining from voting on the Item of Business, the proxy may vote as he or she thinks fit if the Item of Business is amended at the meeting.

Signature \_\_\_\_\_

Signed on the \_\_\_\_\_ day of \_\_\_\_\_

**Note:** Only one proxy can be held per member attending the Annual General Meeting. The Chair can hold unlimited proxy votes. If a member holds more than one proxy vote, the second and additional proxy votes default to the Chair.

Proxy forms must be received by the Returning Officer Katrina Shanks at [katrinas@financialadvice.nz](mailto:katrinas@financialadvice.nz) no later than 48 hours before the AGM starts.